



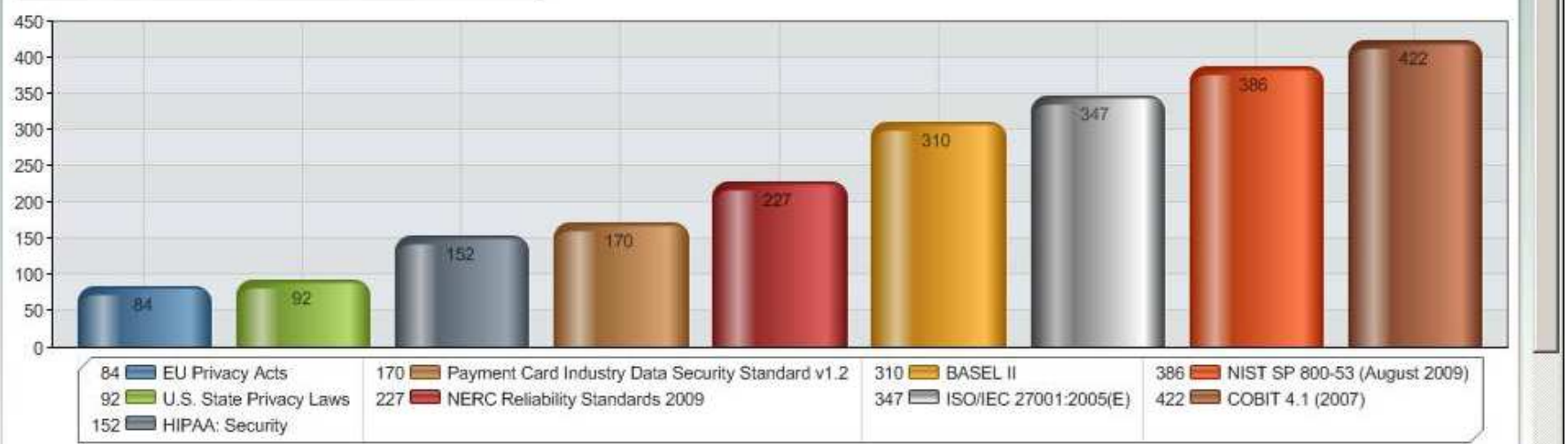
Enterprise Governance, Risk and Compliance

Navigation Menu

- Administration
- Policy Management
 - Corporate Objectives
 - Policies
 - Control Standards
 - Control Procedures
 - Authoritative Sources
 - Question Library
- Issue Management
 - Findings
 - Remediation Plans
 - Exception Requests

Policy Management Content

Control Standard by Top Authoritative Sources



Content Review Summary Reports

Policies by Policy Owner
No Records Found

Exceptions Reports

Policy Exceptions by Business Unit
No Records Found

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 - Manage LDAP Configurations
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 - Notifications
 - Training and Awareness
 - Workspaces and Dashboards
- Policy Management
- Corporate Objectives

Manage Access Roles

Page 1 of 1

Access Roles | Add New

Drag a column name here to group the items by the values within that column.

| Name ▲ | Groups | Default | Last Updated | Updated By | Actions |
|-------------------------------------|-------------------------|---------|---------------------|-----------------------|---------|
| <u>Access Control Administrator</u> | | | 7/23/2009 5:46 PM | Administrator, System | |
| <u>AM: Admin</u> | AM: Admin | | 11/10/2010 11:07 AM | Administrator, System | |
| <u>AM: Business Contacts</u> | AM: Business Contacts | | 11/10/2010 11:10 AM | Administrator, System | |
| <u>AM: Manager</u> | AM: Lead AM: Manager | | 11/2/2010 9:38 AM | Administrator, System | |
| <u>AM: Read Only</u> | AM: Read Only | | 11/10/2010 12:48 PM | Administrator, System | |
| <u>AM: Staff</u> | AM: Staff | | 11/10/2010 12:52 PM | Administrator, System | |
| <u>BM: Admin</u> | BM: Admin | | 11/11/2010 12:33 PM | Administrator, System | |
| <u>BM: Manager</u> | BM: Manager | | 11/11/2010 12:34 PM | Administrator, System | |
| <u>BM: Owner</u> | BM: Owner | | 11/11/2010 12:35 PM | Administrator, System | |
| <u>BM: Read Only</u> | | | 11/11/2010 10:08 AM | Administrator, System | |
| <u>CM: Admin</u> | CM: Admin | | 7/9/2009 4:20 AM | Administrator, System | |
| <u>CM: Manager</u> | CM: Manager | | 7/16/2009 4:49 AM | Administrator, System | |
| <u>CM: Owner</u> | CM: Owner | | 7/16/2009 4:40 AM | Administrator, System | |

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Manage Security Parameter: Archer Services Parameter

Save Apply Delete Email

General Information

| | | | |
|---------------------|---------------------------|---------------|--------------------------------------|
| Name: | Archer Services Parameter | Alias: | Archer_Services_Parameter |
| Type: | Security Parameter | ID: | {22B11850-ACE4-4A16-ADB1-2C2E6E40C1} |
| Description: | | | |

Created By: User, Migration - 9/12/2010 12:44 AM **Last Updated:** Administrator, System - 10/14/2010 7:20 AM

Default Security Parameter

Select whether to assign this parameter as the default security parameter. The default security parameter is automatically assigned to new user accounts. The system will support only one default security parameter. By assigning this parameter as the default security parameter, you will remove this setting from the current default security parameter.

Default Security Parameter: **Assign as Default** Assign this parameter as the default security parameter. This parameter will be automatically assigned to new user accounts.

Password Properties



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Manage Appearance
Save Apply
Theme
Select a theme to use across your system. The preview image provides a visual example of the theme colors and styles based on your selections. You can create new themes and copy existing themes from the Manage Themes page.
Theme: Forest
Preview:
Page Name
General Information
Section Name
* Name: Test Record 1
Description: Descriptive text of te
Button
System Header
Select a design template and add your own images to the system header. The image below shows a preview of your design.
Template: Round Cap
Preview:



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 - Manage Global Values Lists
 - Manage Packages
 - Install Packages
 - View Application Builder Reports

Manage Solutions

Reports Page 1 of 1 First Prev Next Last Email

▼ Solutions | Add New

Drag a column name here to group the items by the values within that column.

| Name ▲ | Active | Last Updated | Updated By | Actions |
|---------------------------------------|--------|---------------------|-----------------------|---------|
| <u>Audit Management</u> | ✓ | 10/27/2010 8:11 PM | Administrator, System | |
| <u>Business Continuity Management</u> | ✓ | 11/4/2010 9:01 AM | Administrator, System | |
| <u>Business Hierarchy</u> | ✓ | 8/12/2009 1:08 AM | Administrator, System | |
| <u>Compliance Management</u> | ✓ | 10/28/2010 10:14 AM | Administrator, System | |
| <u>Enterprise Infrastructure</u> | ✓ | 8/17/2009 11:03 PM | Administrator, System | |
| <u>Incident Management</u> | ✓ | 11/4/2010 9:47 AM | Administrator, System | |
| <u>Issue Management</u> | ✓ | 10/27/2010 8:08 PM | Administrator, System | |
| <u>Policy Exception Management</u> | ✓ | 3/17/2010 12:39 AM | Administrator, System | |
| <u>Policy Management</u> | ✓ | 10/27/2010 8:16 PM | Administrator, System | |
| <u>Risk Assessments</u> | ✓ | 10/6/2010 2:05 PM | Administrator, System | |
| <u>Risk Management</u> | ✓ | 10/28/2010 10:15 AM | Administrator, System | |
| <u>Staffing Management</u> | ✓ | 10/25/2010 3:28 PM | Administrator, System | |
| <u>Task Management</u> | ✓ | 8/12/2009 1:05 AM | Administrator, System | |

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Policy Management

Risk Management

Compliance Management

Enterprise Management

Incident Management

Vendor Management

More

View Policies | Search Policies | View Control Standards | Search Control Standards | View Corporate Objectives

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Manage Application: Business Continuity Plans

Save Apply Delete

Email

General Fields Layout Navigation Menu Events Workflow Calculations Administration

General Information

| | | | |
|----------------|------------------------------------|----------|--|
| * Name: | Business Continuity Plans | * Alias: | Business_Continuity_Plans |
| Type: | Application | ID: | {128587B8-0A3A-4518-9571-12AAAAA10AF-} |
| * Solution(s): | Business Continuity Management ... | Status: | Production |

Description:
 The Business Continuity Plans application allows you to assess the criticality of your business processes and supporting technologies, and then develop detailed recovery plans for full availability of your business, utilizing automated workflow for plan testing and approval. The Business Continuity Plans application helps to manage the plan execution and communication in crisis situations to minimize harm to your employees, customers, reputation and business operations.

Created By: Administrator, System 8/10/2008 11:56 PM **Last Updated:** Administrator, System 5/11/2011 9:11 AM

Options

Task Management: Enable task management options for this application.

Task Field Name:

History Grid Label:



Navigation Menu

- Manage Subscription Notifications
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 - By Status
 - Reports
 - Policies
 - Control Standards
 - Control Procedures
 - Authoritative Sources

Advanced Search: Corporate Objectives

Keyword Search

Enter the term(s) or phrase(s) you want to search for.

Enter Search Criteria Here

Fields To Display

Select fields to display on the search results page.

| Available | Selected |
|--|--|
| Find: <input type="text"/> Name <input type="text"/> <ul style="list-style-type: none"> Corporate Objectives <ul style="list-style-type: none"> Add New Relationship Category Created by Description First Published History Log <ul style="list-style-type: none"> Key Performance Indicators Last Updated | Corporate Objectives <ul style="list-style-type: none"> Objective Category Tracking ID |



Enterprise Governance, Risk and Compliance

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 - Display All
 - By Priority
 - By Remediation Plan Manager
 - By Remediation Plan Owner
 - By Status
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 - Add New
 - Display All
 - By Business Unit
 - By Impacted Control Standard
 - By Overall Status
 - By Request Priority
 - By Reviewer
 - By Risk Rating
 - By Submitted by
 - Reports

Control Standards

New Modify Save Reports 1 to 20 (of 915) Export Print Email

Search Results

Drag a column name here to group the items by the values within that column.

| Standard Name | Standard ID | Statement |
|--|-------------|---|
| <u>"Opt In" for Collection of Personal Information</u> | ATCS-878 | In certain countries, customers should be able to "opt in" to allow the Company to collect personal information. This includes the collection of data for internal purposes or sharing with a third party for cross-marketing purposes. This includes any information about the customer's personally identifiable data (name, address, etc.), personal needs, interests, financial position or banking activity. The customers should be informed of the consequences of denying or withdrawing consent for the collection of the data including the ability, or inability, of the Company to process transactions or deliver products and services. |
| <u>"Opt Out" of Collection of Personal Information</u> | ATCS-459 | Customers should be able to decline ("opt out") from permitting the Company to collect personal information. This includes the collection of data for internal purposes or sharing with a third party for cross-marketing purposes. This includes any information about the customer's personally identifiable data (name, address, etc.), personal needs, interests, financial position or banking activity. The customers should be informed of the consequences of denying or withdrawing consent for the collection of the data including the ability, or |



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- Administration
- Risk Management**
 - Risk Register**
 - Advanced Search
 - Add New
 - Display All
 - By Business Units
 - By Company Objectives
 - By Driver
 - By Inherent Risk
 - By Nature
 - By Operational Risk
 - By Residual Risk
 - By Response Status
 - By Response Type
 - By Risk Manager
 - By Risk Owner
 - By Source Category
 - By Stakeholders
 - Reports
 - Metrics
 - Loss Events

Risk Register

Risks by Response Type

51 (No Selection)

Risk Trending

Risk Findings by Month Created

No Records Found

Risk Metrics Management

Metrics by Current Status

No Records Found



Navigation Menu

- Administration
- Compliance Management
 - Control Procedures
 - Advanced Search
 - Add New
 - Display All
 - By Applications
 - By Business Unit
 - By Control Design
 - By Control Placement
 - By Design Effectiveness
 - By Devices
 - By Frequency of Operation
 - By Operating Effectiveness
 - By Procedure Manager
 - By Procedure Owner
 - By Process
 - By Scoping
 - By Technical Domain
 - By Technology

Advanced Search: Control Procedures

Keyword Search

Enter the term(s) or phrase(s) you want to search for.

Enter Search Criteria Here Control Procedures Search

Fields To Display

Select fields to display on the search results page.

| Available | Selected |
|---|--|
| Find: <input type="text"/> Name <input type="text"/> <ul style="list-style-type: none"> Control Procedures Add New Relationship Activity Level Control Evaluation Annual Sample Size Application Risk Rating Applications Archer Assessment Procedure Archer Description Archer Implementation Procedure | Control Procedures <ul style="list-style-type: none"> Procedure ID Archer Procedure ID Compliance Procedure Name Scoping Type |



Enterprise Governance, Risk and Compliance

- Policy Management
- Risk Management
- Compliance Management
- Enterprise Management
- Incident Management**
- Vendor Management
- More

Report a New Incident | Search Incidents | My Open Incidents (Work Queue)

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 - Display All
 - By Affected Business Unit
 - By Category
 - By Country
 - By Customer Data
 - By Data Encrypted
 - By Facility
 - By Impacted Information Assets
 - By Incident Manager
 - By Incident Owner
 - By Incident Result
 - By Involved Vendor(s)
 - By Legal Involvement
 - By Priority
 - By Region
 - By Reported to Police

Incidents: Add New Record

About

Event Information

| | | | | |
|---------------------|----------------------|-------------------------|----------------------|----------------------|
| Incident ID: | | Status: | New | Edit |
| Incident Summary: | <input type="text"/> | Category: | | Edit |
| Date/Time Occurred: | <input type="text"/> | Priority: | Low | Edit |
| Date/Time Reported: | 12/17/2012 7:26 AM | Involved Vendor(s): | <input type="text"/> | Add |
| Date/Time Closed: | <input type="text"/> | Affected Business Unit: | <input type="text"/> | Add |
| Days Open: | | Source: | <input type="text"/> | Edit |

Incident Details:

Words: 0 Characters: 0



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- Administration
- Vendor Management
 - Vendor Profile
 - Advanced Search
 - Add New
 - Display All
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 - By Relationship Manager
 - By Total Spend
 - By Vendor Status
 - By Vendor Tier
 - Reports
- Contacts
- Contracts
- Engagements
- Facilities
- Vendor Financial Assessment
- Tier 1 Risk Assessment
- Tier 2 Risk Assessment
- Question Library

Advanced Search: Vendor Profile

Keyword Search

Enter the term(s) or phrase(s) you want to search for.

Enter Search Criteria Here

Fields To Display

Select fields to display on the search results page.

| Available | Selected |
|---|--|
| Find: <input type="text"/> Name <input type="button" value=""/> | Vendor Profile |
| <input type="checkbox"/> Vendor Profile | Vendor Name <input type="button" value="x"/> |
| <input type="checkbox"/> Add New Relationship | Customer Data <input type="button" value="x"/> |
| <input type="checkbox"/> Additional Vendor Contacts | Employee Data <input type="button" value="x"/> |
| <input type="checkbox"/> Address | Logical Access <input type="button" value="x"/> |
| <input type="checkbox"/> Auditable Entity | Partner Data <input type="button" value="x"/> |
| <input type="checkbox"/> Business Continuity Plans | Product or Service <input type="button" value="x"/> |
| <input type="checkbox"/> City | Related Companies <input type="button" value="x"/> |
| <input type="checkbox"/> Contracts | Relationship Overview <input type="button" value="x"/> |
| <input type="checkbox"/> Country | Vendor Status <input type="button" value="x"/> |







Enterprise Governance, Risk and Compliance

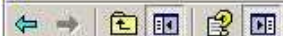
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User Preferences [?] [X]

-  **View Your User Profile**
Within your user profile you can update your personal information as well as modify your password, time zone and locale.
-  **Update Your Workspace Display**
By modifying your workspace display, you can select which tabs are viewable on your screen.
-  **Manage Your Email Subscriptions**
Email subscriptions allow you to receive email alerts when records are added or updated within select applications.
-  **Manage Your Discussion Forum Preferences**
By configuring your discussion forum preferences, you can subscribe to specific forums and create bookmarks to important discussions.
-  **View Your Login History**
By accessing your login history, you can review a list of your system usage, including the date, time and length of your login sessions.

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- Archer Control Panel
 - Installation Settings
 - Instances
 - 50000
 - Monitoring Console
 - Web Applications



50000

Instances

Company 50000 **Serial Number** C2005568EC54F67B8292A0766



General Settings

Configure the general settings for this instance.



Database

Modify the database connection for this instance.



Single Sign-On

Enable single sign on and select the single sign on method.



Data Feed Manager Settings

Configure parameters for how this instance can interact with the Data Feed Manager.

Actions

- 50000
- Rename Instance
- Delete Instance
- Multiple Instance Settings
- Update License Key
- Rebuild Search Index
- Change SysAdmin Passw...
- Change Services Password
- View
- New Window from Here
- Help

RSA Archer eGRC Platform - Windows Internet Explorer


http://localhost/archer/foundation/Workspace.aspx?workspaceId=&requestUrl=

File Edit View Favorites Tools Help

The Help Center 5.0 - Windows Internet Explorer

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Home > Welcome



RSA Archer eGRC

Welcome to the RSA Archer eGRC Platform Help Center

Version 5.1 - October 2011

In the Help Center, you will find step-by-step instructions for completing tasks throughout the RSA Archer eGRC Platform system, along with descriptions of processes, pages, reports, field types, icons, and more.

To help you find and use the information you need quickly, the following features are available in the Help Center:

- ▶ [Table of Contents](#)
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